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PIN 22-13-ASC

TO: ALL ADULT AND SENIOR CARE PROGRAM LICENSEES (EXCEPT
FOR ADULT RESIDENTIAL FACILITIES FOR PERSONS WITH
SPECIAL HEALTH CARE NEEDS)

FROM: *Original signed by Kevin Gaines*
KEVIN GAINES
Deputy Director
Community Care Licensing Division

SUBJECT: **ADULT AND SENIOR CARE (ASC)- UPDATED REGULATIONS FOR
INFECTION CONTROL REQUIREMENTS**

Provider Information Notice (PIN) Summary

PIN 22-13-ASC updates California Code of Regulations (CCR), Title 22, Division 6. This includes a new Infection Control Requirements section and updates to existing infection control prevention standards, as well as for persons in care with a communicable disease, and various other updates. These regulations are intended to ensure that infection control practices are maintained by licensees, staff and volunteers. These regulations became effective February 7, 2022.

Please post/keep this PIN in the facility where persons in care can easily access it and distribute the PIN Summary (located at the end of this PIN) to persons in care and, if applicable, their representatives.

The Coronavirus Disease 2019 (COVID-19) pandemic has resulted in the need to establish more consistent infection control requirements for licensed ASC Facilities. The Community Care Licensing Division (CCLD) has, therefore, updated regulatory requirements related to infection control prevention and mitigation for communicable diseases. The purpose of this PIN is to provide an overview of the updated infection control requirements that all ASC providers (except for Adult Residential Facilities for Persons with Special Health Care Needs (ARFPSHNs)) are to follow with an effective

date of February 7, 2022. These regulations will ensure the immediate protection and preservation of the health and safety of adults and seniors in licensed community care.

The following California Code of Regulations (CCR), Title 22, Division 6 chapters have been updated:

- [Chapter 2](#), Social Rehabilitation Facilities (SRF)
- [Chapter 3](#), Adult Day Programs (ADP)
- [Chapter 6](#), Adult Residential Facilities (ARF)
- [Chapter 8](#), Residential Care Facilities for the Elderly (RCFE)
- [Chapter 8.5](#), Residential Care Facilities for the Chronically Ill (RCFCI)

In addition to the above chaptered facilities, these updates also apply to Community Crisis Homes (CCH) and Enhanced Behavioral Support Homes (EBSH) since they follow the provisions of ARFs.

These updates do not apply to ARFPSHNs. Per Welfare and Institutions Code (WIC) Section 4684.70(a), the State Department of Social Services, in administering the licensing program, shall not have any responsibility for evaluating consumers' level of care or health care provided by an ARFPSHN.

NEW INFECTION CONTROL REQUIREMENTS

Infection Control Requirements:

Adds CCR, Title 22, Division 6, Sections [81095.5](#), [82095.5](#), [85095.5](#), [87470](#), [87895.5](#)

The new Infection Control Requirements address, but are not limited to, the following:

- Updated guidelines on hand hygiene, environmental cleaning and disinfection, injection and medication safety, use of personal protective equipment (PPE), hygiene and cough etiquette, and the reprocessing of reusable medical equipment.
- Requirements that all ASC facilities include an infection control plan in their Plan of Operation. The plan will also include training requirements, documentation requirements and designation of an Infection Preventionist staffing role.

NOTE: The licensee's Infection Control Plan should be submitted to CCLD by June 30, 2022. The Mitigation Plan as mentioned in [PIN 21-43-ASC](#), will stay in effect until the licensee's Infection Control Plan is submitted to CCLD for approval.

- Infection control practices that are based on the following scenarios:

No Communicable Disease Present in Facility

In addition to the updated hygiene guidelines above, and the infection control standards that are already in place, an Infection Control Plan must be developed by the licensee and be included in the Plan of Operation. The Infection Control

Plan must include identification of a staff position to perform the duties of an Infection Preventionist for the facility and an Infection Control Training Plan.

One or More Persons in Care with a Communicable Disease

Note: This section does not apply to ADPs. Per existing regulations, clients/residents with a communicable disease are not permitted in ADPs.

In addition to the updated hygiene guidelines above, and the infection control standards that are already in place, when one or more persons in the facility are diagnosed with a communicable disease, assigned staff and volunteers, regardless of having direct contact with clients/residents, will be required to perform enhanced environmental cleaning and disinfection. Additionally, there must be separation and care of clients/residents whose illness requires separation, including quarantine or isolation, from others.

When a State or Federal Emergency for a Communicable Disease is Declared

In addition to the updated hygiene guidelines above, and the infection control standards that are already in place, when a State or federal emergency for a communicable disease is proclaimed or declared, the licensee must develop an Emergency Infection Control Plan that includes additional infection control measures to prevent, contain, and mitigate the associated communicable disease. The Emergency Infection Control Plan must include the applicable infection control measures recommended by the public health authorities for the communicable disease and must be completed and sent to CCLD within 15 calendar days from the date the state or federal emergency is proclaimed or declared.

OTHER REGULATION UPDATES

In addition to the new Infection Control Requirements section, other miscellaneous updates were made. These include the following changes to the CCR, Title 22, Division 6:

Definitions:

Amends CCR, Title 22, Division 6, Sections [81001](#), [82001](#) and [87101](#)

Removed the definition of “Universal Precautions” and integrated those standards into the Infection Control Requirements section.

Personnel Requirements:

Amends CCR, Title 22, Division 6, Sections [80065](#), [81065](#), [82065](#), [87411](#) and [87865](#)

Removed sections that refer to the definition of “Universal Precautions” and sections that reference Universal Precautions training.

Restricted Health Conditions:

Amends CCR, Title 22, Division 6, Section [80092](#)

Removed section 80092(b)(5) because it was in reference to Section 80092.7 which no longer exists.

Plan of Operation:

Amends CCR, Title 22, Division 6, Sections [81022](#), [82022](#), [85022](#), [87208](#) and [87822](#)

Updated sections to now require licensees to include an Infection Control Plan within the Plan of Operation. Also, the requirement to include a statement that all staff will receive training in “Universal Precautions” was removed and replaced with “Infection Control Requirements.”

Staph or Other Serious, Communicable Infections:

Amends CCR, Title 22, Division 6, Sections [80092.7](#), [81092.7](#) and [82092.7](#)

Renumbered section 80092.7 to Article 8, Section 85092.7, Incidental Medical Services. The term “Universal Precautions” was removed, and the title “Infection Control Requirements” was adopted in Sections 81092.7 and 82092.7.

Hospice Care:

Amends CCR, Title 22, Division 6, Section [85075.1](#)

Updated section to add a cross-reference to include the Infection Control Requirements.

Administrator Certification Training Programs:

Amends CCR, Title 22, Division 6, [Article 9](#)

Renumbered all sections in Article 9 as the result of the new Infection Control Requirements being adopted. No content was changed.

ATTACHMENTS

The attached Infection Control Training Resources document, [Addendum A](#), provides links and information on training, including the Infection Preventionist role, which will be required per the new Infection Control Requirements section.

If you have any questions regarding this PIN, please contact your local [Adult and Senior Care Regional Office](#).

ADDENDUM A: INFECTION CONTROL TRAINING RESOURCES

The Community Care Licensing Division (CCLD) updated infection control regulations include establishing a new Infection Preventionist staffing role for ASC facilities. The facility's Infection Preventionist will ensure consistent training, oversight and guidance specific to the facility's Infection Control Plan and any necessary Emergency Infection Control Plan.

CCLD has prepared this Infection Control Training Resource document as a companion to PIN 22-13-ASC to inform you of available free infection control training. All trainings listed have been researched through the Department of Public Health (CDPH) and the Centers for Disease Control and Prevention (CDC).

The following free programs are designed to give the skills, knowledge, and tools to provide effective leadership during facility-level outbreaks and large-scale public health emergencies. Several courses within the training programs provide beneficial and valuable guidance for community care facility workers on infection prevention and control (IPC).

- [Infection Preventionist Training for Skilled Nursing Facilities](#): This online course provides practical guidance for implementing an infection prevention program using evidence-based guidelines for preventing healthcare-associated infections (HAI). This is a 14-hour self-paced course and must be completed within the cohort dates.
- [CDC/STRIVE Infection Control Training](#): This self-paced curriculum was developed by national infection prevention experts led by the Health Research & Educational Trust (HRET) for CDC. Courses address both the technical and foundational elements of HAI prevention.
- [CDC TRAIN: Nursing Home Infection Preventionist Training Course](#): Training for individuals responsible for IPC programs, to effectively implement their programs and ensure adherence to recommended practices by front-line staff. The following is a list of some of the self-paced modules offered:
 - Infection Prevention & Control Program
 - The Infection Preventionist
 - Outbreaks
 - Principles of Transmission-Based Precautions
 - Hand Hygiene
 - Injection Safety
 - Respiratory Hygiene and Cough Etiquette
 - Reprocessing Reusable Resident Care Equipment
 - Environmental Cleaning and Disinfection
 - Linen Management
 - Preventing Respiratory Infection

Additional [CDC TRAIN](#) Courses Available:

- **Infection Control and Infectious Diseases:** In this video-based course you will explore standard and transmission-based infection control protocols and prevalent infectious diseases.
- **Personal Protective Equipment (PPE):** This course was developed with specific focus on the long-term care setting. This educational activity will focus on the correct selection, use, and disposal of PPE to be used to support facility-specific infection policies and procedures.
- **The Basics of Hand Hygiene for Healthcare Settings:** This course provides you with an overview of the practice of hand hygiene and how you can prevent the spread of infections to yourself, your colleagues and others.
- **Long-term care facilities in the context of COVID-19:** This 2-hour course, presented by WHO, consists of 4 modules that address infection prevention and control measures to support long-term care facilities (in the context of COVID-19).
- **Infection Prevention in Long-Term Care Settings:** This course develops an increased understanding of what long term care facilities require for a successful infection prevention program.
- **COVID-19 Infection Control and Prevention:** This course provides an awareness of personal hygiene and how to prevent and control the spread of COVID-19 and other infections in the workplace as we adapt to a new way of working and living as a result of COVID-19. Topics include:
 - ✓ Infection prevention and control
 - ✓ Standard precautions of infection control
 - ✓ Common infections and modes of transmission
 - ✓ Identify and report situations that pose a risk for infection
 - ✓ Control the spread of infection

Other Infection Control Education and Resources

The following may be considered for additional resources around infection control. Although these are not trainings, they may provide valuable information for the community care facility licensees and support workers.

- [CDC Infection Prevention and Control Training for Healthcare Professionals](#)
- [SHEA/APIC Guideline](#): Infection Prevention and control in long-term care facility
- [HAI Prevention and Reporting webpage](#)
- [Memory Care and COVID-19](#)
- [Managing Behavioral Challenges During COVID-19](#)

Provider Information Notice (PIN) Summary for Persons in Care

PIN 22-13-ASC Updated Regulations for Infection Control Requirements

The California Department of Social Services (CDSS) has prepared this PIN Summary for Persons in Care as a companion to PIN 22-13-ASC to inform you of guidance we provided to your care providers concerning your care.

PIN 22-13-ASC notifies the licensee of your facility of updated regulations which include new infection control requirements and updates to existing infection control standards to include an Infection Control Plan and an Emergency Plan within the Plan of Operations for when a person in care has a communicable disease. These regulations are intended to ensure that infection control practices are maintained by licensees, staff and volunteers.

The purpose of this PIN is to provide an overview of the updated infection control requirements that all providers are to follow with an effective date of February 7, 2022.

NEW INFECTION CONTROL REQUIREMENTS

The new Infection Control Requirements address, but are not limited to, the following:

- Updated guidelines on hand hygiene, environmental cleaning and disinfection, injection and medication safety, use of personal protective equipment (PPE), hygiene and cough etiquette, and the reprocessing of reusable medical equipment.
- An Infection Control Plan will be required by all ASC facilities that will need to be included in their plan of operation. The plan will also include training requirements, documentation requirements and designation of an Infection Preventionist staffing role.
- Infection control practices that are based on the following scenarios:

No Communicable Disease Present in Facility

In addition to the updated hygiene guidelines above, and the infection control standards that are already in place, an Infection Control Plan must be developed by the licensee and be included in the Plan of Operation. The Infection Control Plan must include identification of a staff position to perform the duties of an Infection Preventionist for the facility and an Infection Control Training Plan.

One or More Persons in Care with a Communicable Disease

Note: *This section does not apply to Adult Day Programs (ADP). Per existing regulations, clients/residents with a communicable disease are not permitted in ADPs.*

When one or more persons in the facility are diagnosed with a communicable disease, assigned staff and volunteers, regardless of having direct contact with

clients/residents, will be required to perform enhanced environmental cleaning and disinfection. Additionally, there must be separation and care of clients/residents whose illness requires separation, including quarantine or isolation, from others.

When a State or Federal Emergency for a Communicable Disease is Declared

When a State or federal emergency for a communicable disease is proclaimed or declared, the licensee must develop an Emergency Infection Control Plan that includes additional infection control measures that are not already addressed to prevent, contain, and mitigate the associated communicable disease. The Emergency Infection Control Plan must include the applicable infection control measures recommended by the public health authorities for the communicable disease and must be completed and sent to CCLD within 15 calendar days from the date the state or federal emergency is proclaimed or declared.

Your care providers, the licensees of your facility, and your [local Long-Term Care Ombudsman](#) (call 1-800-510-2020) are available to answer your questions.